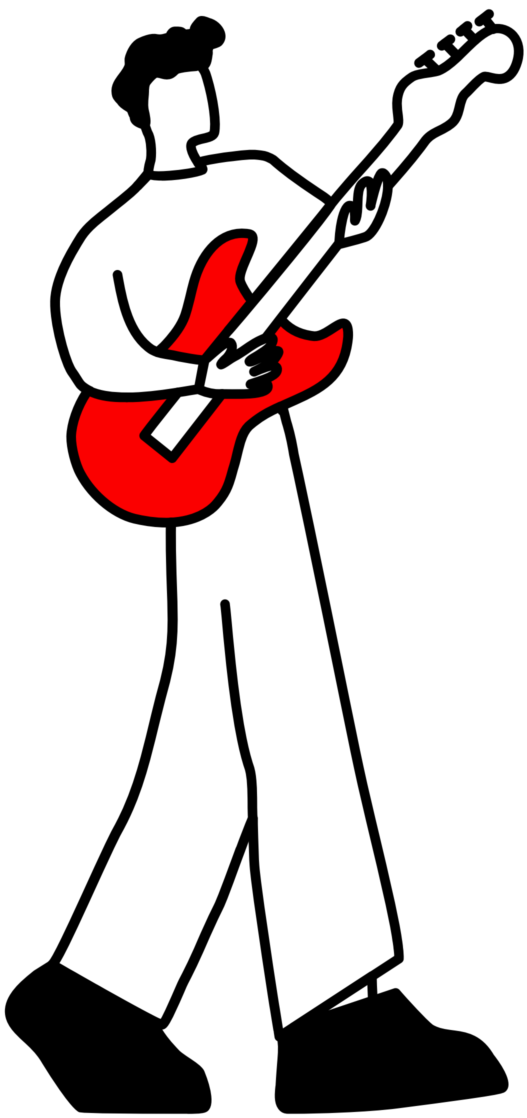
Setting the financial stage for entertainers

Katz Wealth Management
UBS Financial Services Inc.
Private Wealth Management



UBS

As a professional in the entertainment and media world, you've built a career in a highly visible and demanding field—whether on stage, on screen, or behind the scenes. Your dedication sets you apart, but so do the challenges of your industry: income volatility, and the need to make informed financial decisions.

Katz Wealth Management is part of a select group of UBS Financial Advisors and Athletes & Entertainers Consultants (AECS) dedicated to serving the sports and entertainment community. We leverage UBS's global resources to help clients manage, grow, and preserve wealth throughout every stage of their career and beyond.

As a Managing Director, Senior Portfolio Manager, Private Wealth Advisor, and Athlete and Entertainers Consultant, Jason Katz and Ryan Rosegarten brings extensive experience working with individuals in the entertainment industry. Collectively, they leverage decades of expertise to deliver tailored financial solutions for singers, actors, and media professionals. UBS is deeply committed to serving the entertainment community, backed by rigorous accreditation standards and dedicated to delivering results.

Your income isn't like everyone else's, and neither should your financial strategy be.

Our industry recognition

Katz Wealth Management

– *Forbes* America's Top Wealth Management Teams Private Wealth, 2024 (ranked #12)
  The *Forbes* rating is compiled by SHOOK Research and awarded annually in November based on information from a 12-month period ending in March of the award year.

Jason Katz

– *Forbes* America's Top Wealth Advisors: Top 100, 2016 – 2025 (ranked #7)
  The *Forbes* rating is compiled by SHOOK Research and awarded annually in April based on information from a 12-month period ending June of the prior year.

– *Forbes* Best-In-State Wealth Advisors, NY, 2018 – 2025 (ranked #2)
  The *Forbes* rating is compiled by SHOOK Research and awarded annually in April based on information from a 12-month period ending June of the prior year.

– *Barron's* Top 100 Financial Advisors, 2018 – 2023 (ranked #16)
  The *Barron's* rating is awarded annually in April based on information from the prior year Q4.

– *Barron's* Top 1,200 Financial Advisors, 2014 – 2023 (ranked #6)
  The *Barron's* rating is awarded annually in March based on information from the prior year Q3.

  Eligibility is based on quantitative factors and is not necessarily related to the quality of the investment advice.

Why choose us?

Our approach is guided by
Jason Katz, CIMA® and Ryan Rosegarten, CFP®.

Jason is a frequent guest on CNBC, Fox Business News and Bloomberg TV. He is the founding partner and senior team member of Katz Wealth Management and oversees the team's customized equity and exchange traded fund models for clients. He also has extensive experience in selecting and monitoring top institutional money managers, hedge funds and private equity funds. Lastly, he has been named a *Forbes* Best-In-State Wealth Advisor in New York, 2018 – 2024. The *Forbes* rating is compiled by SHOOK Research and awarded annually in April based on information from a 12-month period ending June of the prior year. Eligibility is based on quantitative factors and is not necessarily related to the quality of the investment advice.

Ryan is a Senior Wealth Strategy Associate and leads KWM's entertainment, sports, and media focus alongside Jason. Coming from a family with five decades in the entertainment industry, Ryan has a deep understanding of the creative mindset and the crucial need for comprehensive planning and collaboration.

As a CERTIFIED FINANCIAL PLANNER® professional, he has received extensive training in a wide range of areas, including retirement planning, investment strategy, advanced wealth transfer, tax optimization, and risk mitigation in financial, investment, insurance, and estate planning strategies. Ryan works closely with clients and their trusted advisor teams, providing day-to-day communication along with quarterly portfolio reviews.

He has been in the media, (Asset TV, Financial Advisor IQ, NASDAQ, Financial Planning Magazine, and more) speaking on a variety of topics pertaining to the team's work with their clients.

We've guided GRAMMY®, EMMY®, Billboard® and other award winning artists through their financial journey. Contact us to see how we can help you.

> Whether building a business, preserving your lifestyle in retirement or planning your legacy, we are a team of dedicated professionals who share your vision, seek to earn your trust and work diligently to help you pursue your goals.

– Jason Maxwell Katz

Why work with us?

We provide boutique advice backed by the full resources of one of the world's largest wealth management firms.

Our skilled team consists of credentialed Financial Advisors focused on delivering the highest caliber of service and advice.

Through our solution set and comprehensive planning process, we can address both the assets and liabilities of your personal balance sheet.

As part of the Private Wealth Management division at UBS located in the New York City office with a presence in South Florida, we have access to the firm's thought leaders and decision-makers.

We can provide the confidence that comes from a team that truly cares about you and each generation of your family.

Our wealth management process

Identify

– We begin with a deep conversation to **identify** your investment goals, planning needs, risk tolerance and more

Plan

– From there, Katz Wealth Management will develop your customized financial **plan**

– The plan is guided by your goals and helps dictate your optimal investment allocation

Agree

– Next, Katz Wealth Management will collaborate to prepare a detailed analysis of your portfolio

– Working with you, we mutually **agree** on the solutions that best help you pursue your goals at every phase of your life

Implement

– Once your plan is in place, we can help you **implement** your investment solutions

– Our team oversees your investment strategies and keeps you apprised of your progress

Review*

– We can **review** upon your request and make any adjustments necessary as your life, financial circumstances and goals change

* We provide periodic monitoring of advisory accounts in accordance with the client's agreements with UBS. Unless separately agreed in writing, UBS does not manage client's brokerage account, and clients make the ultimate decision regarding the purchase or sale of investments.

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